

# **Code of Ethichs**

## **For**

### **Bankers'**

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**Ex. CFO & GM**  
**Sonali Bank Limited**

# Code of Ethichs

**Ethics** is a combination of moral qualities and a collection of measurements that inquire into the values, norms and rules which form the essentials of the individual and social relations established by people from the moral aspect of right-wrong or good-bad.

Bank deals with public money where Ethics, Integrity and Trust is the utmost important. Bank upholds these principles in every aspect by its Management, Regulatory Compliance and Customer Services

# Code of Ethichs

## Code of Ethics for Professional Accountants (As per IFAC):

- **Integrity**
- **Objectivity**
- **Professional competency and due care**
- **Confidentiality and**
- **Professional Behavior**

# Code of Ethichs for Bankers'

In line with national integrity strategy, Bangladesh Bank through BRPD circular no-16 dated 06 November 2017, issued “ **Code of Conduct for Banks & Nonbanks Financial Institutions**” where BB instructed all Banks & NBFIs to develop & implement code of conduct from 01 January 2018.

# Code of Ethichs

## Code of Ethics for Bankers':

- **Act responsibly and within one's authority**
- **Use good judgment**
- **Speaking up**
- **Comply with laws, regulations and corporate standerds**
- **Fight financial crime**
- **Reject bribery and corruption**
- **Ensure fair outcome for clients**

# Code of Ethichs

## Code of Ethics for Bankers':

- **Manage conflicts of interest**
- **Do not engage in or support insider dealing**
- **Protect confidential information**
- **Compete fairly in the market place**
- **Treat colleagues fairly and with respects**
- **Be open and honest with regulators-extended full co-operation**
- **Respect communities and the environment**

# Code of Ethichs

**Code of Ethics for Bankers' as per BRPD code of conduct guidelines:**

**Encouraging people for knowledge and skill enhancement**

**Delivering to Partners**

**Being Proactive**

**Working in Teams**

**Respecting Each Other and reciprocity**

**Guarding against Arrogance**

# Code of Ethichs

**Code of Ethics for Bankers' as per BRPD code of conduct guidelines:**

**Responsibility**

**Responsibility to Shareholders**

**Responsibility to Customers**

**Responsibility to Community/Society**

**Commitment to the Environment**

**Property and Information of Bank and NBFI**

**Property of Bank /NBFI**



# Code of Ethichs

**Code of Ethics for Bankers' as per BRPD code of conduct guidelines:**

**Information Security**

**Invisible Property**

**Intellectual Property of Others**

**Bank's/NBFI's Information**

**Customer Information**

**Information of Government Agencies'**

# Code of Ethichs

**Code of Ethics for Bankers' as per BRPD code of conduct guidelines:**

**Employee Information**

**Suppliers' Information**

**Use of Position**

**Private Gain**

**Endorsement**

**Conflicts of Interest**

**Engagement in Other Employment**

# Code of Ethichs

**Code of Ethics for Bankers' as per BRPD code of conduct guidelines:**

**Private Trade or Employment**

**Procedure for Applying in Outside Employment**

**Teaching, Speaking & Writing**

**External Pressure/Approach to Member of Parliament,**

**Political Leader, Board Members etc.**

**Acceptance of Gifts and Foreign Awards**

**Fair Treatment of Counter parties**

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**Acceptance of Gifts and Foreign Awards**

**Fair Treatment of Counter-parties**

**Anti-Money Laundering**

**Accuracy of Records and Reporting**

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**Responsibility**

# Code of Ethichs

**Code of Ethics for Bankers' as per BRPD code of conduct guidelines:**

**Working Environment**

**Health & Safety**

**Workplace Environment**

**Team Work**

**Diversity**

**Employees' Grievance**

**Compliance with Laws, Rules and Regulations**

**Fair & Equal Employment Opportunity**

**Harassment**

# Code of Ethichs

**Code of Ethics for Bankers' as per BRPD code of conduct guidelines:**

**Zero Tolerance to Violence Whistle Blower in the Workplace**

**Special Responsibilities of Superiors**

**Fair Treatment of Customers**

**Privacy and Security of Clients/Stakeholders Information**

**Transparency and Accuracy of Financial, Tax and other Reporting**

**Interaction in Print, Electronic and Social Media**

**Guidelines for 'Speak Up Policy'**

**Personal Investments and Insider Trading**

**Arranging Seminar / Workshop / Training**

# Code of Ethichs

**Code of Ethics for Bankers' as per BRPD code of conduct guidelines:**

**Automation / Digitalization of Business Process**

**Employee Conduct outside the Office Premises**

**Grooming, Etiquette and Compliance with the Dress Code**

**Post-Employment Activities and Responsibilities**

**Responsibilities of Ethics Committee to Uphold the Code of conduct**

**Recognition & Award**

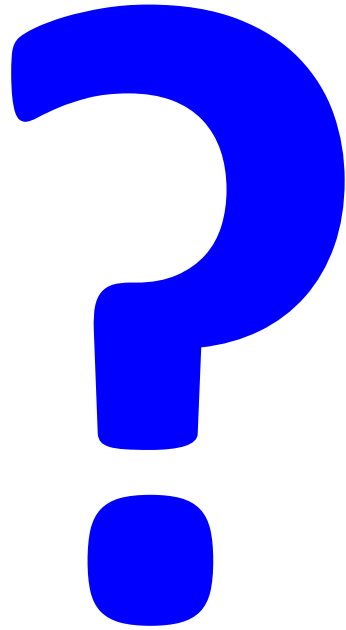
**Disciplinary Procedures and Actions**

**Compliance with the Code of Conduct**

**Amendments or Modifications to and Dissemination of the Code of Conduct**



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**Thank You**